



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No. : 10/688,786

Confirmation No.: 9558

Applicant : Henry R. Costantino and Joyce M. Hotz

Filed : October 17, 2003

Group Art Unit : 1653

Examiner: Anand W. Desai

Title : Microencapsulation and Sustained Release of Biologically Active Polypeptides

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, or is being facsimile transmitted to the United States Patent and Trademark Office on:

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment
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Sir:

This Information Disclosure Statement is submitted:

under 37 CFR 1.129(a), or
 (First/Second submission after Final Rejection)

under 37 CFR 1.97(b), or
 (Within any one of the following time periods: three months of filing national application (other than a CPA) or date of entry of the national stage in an international application; or before the mailing date of a first office action on the merits in a non-provisional application, including a CPA, or a Request for Continued Examination).

under 37 CFR 1.97(c) together with either:
 a Statement under 37 CFR 1.97(e), as checked below, or
 a \$180.00 fee under 37 CFR 1.17(p), or
 (After the 37 CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)

under 37 CFR 1.97(d) together with:
 a Statement under 37 CFR 1.97(e), as checked below, and
 a \$180.00 fee under 37 CFR 1.17(p), or
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under 37 CFR 1.97(i):
 Applicant requests that the IDS and cited reference(s) be placed in the application filewrapper. (Filed after payment of issue fee)

Statement Under 37 CFR 1.97(e)

- Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement; or
- No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Statement Under 37 CFR 1.704(d) (Patent Term Adjustment)

(Applies to original applications (other than design) filed on or after May 29, 2000)

- Each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in -§ 1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.
- Enclosed herewith is form PTO-1449:
 - Copies of the cited references are enclosed.
 - Since this application was filed after June 30, 2003, copies of issued U.S. patents and published U.S. applications are not required and are not being provided.
 - Copies of cited references are enclosed except those entered in prior application, U.S. Application No. [], to which priority under 35 U.S.C. 120 is claimed.
[The earlier application contains copies of the cited references.]
 - The listed references were cited in the enclosed International Search Report in a counterpart foreign application.
 - The “concise explanation” requirement (non-English references) for reference(s) [] under 37 CFR 1.98(a)(3) is satisfied by:
 - the explanation provided on the attached sheet.
 - the explanation provided in the Specification.
 - submission of the enclosed International Search Report.
 - submission of the enclosed English-language version of a foreign Search Report and/or foreign Office Action.
 - the enclosed English language abstract.

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The Examiner is requested to return a copy of the list of pending applications indicating which references were considered with the next office communication.

It is requested that the information disclosed herein be made of record in this application.

Method of payment:

- A check for the fee noted above is enclosed, or the fee has been included in the check with the accompanying Reply. A copy of this Statement is enclosed.
- Please charge Deposit Account [] in the amount of [\$]. A copy of this Statement is enclosed.
- Please charge any deficiency in fees and credit any overpayment to Deposit Account 502807.

Respectfully submitted,

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Dated: 11/4/4

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SUPPLEMENTAL
INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

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OCT 10 2004
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October 25, 2004
(Use several sheets if necessary)

ATTORNEY DOCKET NO. 1733.2025-003	APPLICATION NO. 10/688,786	
APPLICANT Henry R. Costantino and Joyce M. Hotz		
FILING DATE October 17, 2003	CONFIRMATION NO. 9558	GROUP 1653

U.S. PATENT DOCUMENTS				
EXAMINER INITIAL	REF. NO.	DOCUMENT NUMBER	ISSUE DATE / PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT OF CITED DOCUMENT
	AD2	4,530,840	Jul 23, 1985	Tice <i>et al.</i>
	AE2	5,225,205	Jul 6, 1993	Orsolini
	AF2	5,336,505	Aug 9, 1994	Ng <i>et al.</i>
	AG2	6,369,116 B1	Apr 9, 2002	Wong <i>et al.</i>
	AH2	6,465,425 B1	Oct 15, 2002	Tracy <i>et al.</i>
	AA3			
	AB3			
	AC3			
	AD3			
	AE3			
	AF3			
	AG3			

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		
AT	Elvassore, Nicola <i>et al.</i> , "Production of Insulin-Loaded Poly(Ethylene Glycol)/Poly(L-Lactide) (PEG/PLA) Nanoparticles by Gas Antisolvent Techniques," <i>Journal of Pharmaceutical Sciences</i> , 90, (10):1628-1626 (Oct 2001).	
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EXAMINER	DATE CONSIDERED
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